Internal F.R.

BORROWER-IN-CUSTODY COLLATERAL PLEDGE FORM

**TO:** Federal Reserve Bank of Kansas City **FROM:** DI Name

Credit and Risk Management Department ABA #

1 Memorial Drive (Address)

Kansas City, Missouri 64198 (City & State)

**Contact(s):**

**The Collateral Described Below is Pledged to the Federal Reserve Bank of Kansas City for Discount Window, PSR, and/or Treasury Investment Program Purposes:**

### Category of Collateral\* # of loans Amount of Pledge **FRB USE ONLY:**

Commercial Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Agricultural Production Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Agricultural Real Estate Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Commercial Real Estate Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Construction Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_ Land and Development Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Consumer Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Type: Auto Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Marine \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other (Specify) \_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Home Equity Lines \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mortgage Loans (1 to 4 family) \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Student Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_ SBA Guaranteed Loans \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Other (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_ $\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Location of facility housing collateral (if different from mailing address above):**

Institution/Operations Center Name:

Street:

City, State Zip:

**A signature on this cover sheet certifies that:**

**(a) All loans or receivables included in the pledge are owned by the pledging institution** (**or held in an approved affiliate pledge arrangement) and maintained free of any adverse claim;**

**(b) No loans or receivables included in the pledge have matured or are past due more than 30 days (or 60 days for 1-4 family mortgage and other consumer loans), on non-accrual status, restructured and/or renegotiated, or classified;**

**(c) No loans or receivables to insiders, affiliates/subsidiaries, or foreign obligors are included in the pledge;**

**(d) The value of the entire collateral pool (before any substitutions) did not decrease by more than 10% during the previous month; and**

**(e) A new collateral listing will be submitted if the aggregate value of the loans included in the pledge declines by 10% or more before the next collateral listing update is due.**

Submitted By: \_\_\_\_\_\_\_\_\_

(Authorized Signature) (Title)

**FRB Acknowledgment:** Date Received By:

\*For Reserve Bank pledging purposes, loan s should be categorized consistent with the Depository Institution’s reporting in Schedule RC-C of the FFIEC Report of Condition or Schedule A of the NCUA Statement of Financial Condition.